

Certifications of programs with computational effects

PhD Thesis Defense:

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Computational effects

In **mathematics**;

- an operation (e.g., function) always returns the same result on the same input,
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 - ★ fall into an exceptional case, (**exceptions**)
 - ★ caught by a non-terminating loop, (**non-termination**)
 - ★ stuck in interaction with the external world (**I/O**).

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All such ★ phenomena are known as **computational effects**.

Reasoning about programs involving exceptions...

... is difficult:

- exceptions are **computational effects**:
 a program $X \rightarrow Y$
 is interpreted as a function $X \rightarrow Y + E$
 (where E is the set of exceptions)
- the handling mechanism is **encapsulated**
 in a single `try-catch` block
 which **propagates** exceptions: $X \rightarrow Y + E$
 it relies on the `catch` part
 which **recovers** from exceptions: $E \rightarrow Y + E$

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Goal: adding features to handle exceptions into a pure language **without worsening its (syntactic) completeness.**

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Goal (revisited): proving that theories of a decorated logic for exceptions are Hilbert-Post complete with respect some pure sub-logic.

Outline:

- (1) introduce the decorated logic for exceptions and its theories,
- (2) define the relative Hilbert-Post completeness property,
- (3) give (a sketch of) a relative Hilbert-Post completeness proof for these decorated theories in a Coq implementation.

Consequence

Thanks to **DUALITY** between **EXCEPTIONS** and **STATES** [Dumas&Duval&Fousse&Reynaud]

we consequently get:

- the decorated logic for states,
- relatively Hilbert-Post complete theories of the decorated logic for states.

Some literature

- About effects:
 - **monads** [Moggi 1991],
 - effect systems [Lucassen&Gifford 1988],
 - Lawvere theories [Plotkin&Power 2002],
 - **algebraic handlers** [Plotkin&Pretnar 2009],
 - comonads [Uustalu&Vene 2008] and [Petricek&Orchard&Mycroft 2014],
 - dynamic logic [Mossakowski&Schröder&Goncharov 2010].
- Implementations:
 - **Haskell**,
 - **Eff** [Bauer&Pretnar], **Idris** [Brady].
- About completeness properties of effects:
 - (global) states [Pretnar 2010]
 - local states [Staton 2010].

I.

Decorated logics

Decorated logic

- (1) A decorated logic \mathcal{L}_{dec} [Dominguez & Duval'08] is an extension to monadic equational logic \mathcal{L}_{meq} with the use of decorations on terms and equations.
- (2) \mathcal{L}_{dec} provides equivalence proofs among programs with effects.

Syntax for the monadic equational logic (\mathcal{L}_{meq}):

Types: $t ::= A \mid B \mid \dots$

Terms: $f g ::= \text{id}_t \mid a \mid b \mid \dots \mid g \circ f$

Equations: $e ::= f \cong g$

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Syntax for a decorated logic

Types: $t ::= A \mid B \mid \dots$

Terms: $f \ g ::= \text{id}_t \mid a \mid b \mid \dots \mid g \circ f$

Decoration for terms: $(d) ::= (0) \mid (1) \mid (2)$

Equations: $e ::= f \equiv g \mid f \sim g$

Decorations are used to classify “effectful” terms.

Decorated logic for exceptions (\mathcal{L}_{exc})

The exceptions effect is handling of exceptions in an imperative programming language.

Syntax of the decorated logic for exceptions (\mathcal{L}_{exc}): ($e \in EName$)

Types: $ts ::= A \mid B \mid \dots \mid t+s \mid \mathbb{0} \mid P_e$

Terms: $fg ::= id_t \mid a \mid b \mid \dots \mid g \circ f \mid [g \mid f] \mid$
 $inl \mid inr \mid []_t \mid tag_e \mid untag_e \mid \downarrow f$

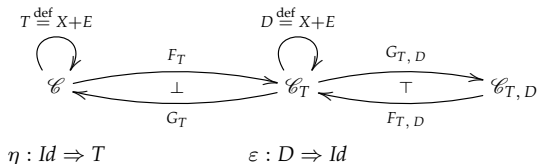
Decoration for terms: $(d) ::= (0) \mid (1) \mid (2)$

Equations: $e ::= f \equiv g \mid f \sim g$

$tag_e^{(1)} : P_e \rightarrow \mathbb{0}$
 $untag_e^{(2)} : \mathbb{0} \rightarrow P_e$

Interpreting the logic \mathcal{L}_{exc}

The coKleisli-on-Kleisli construction:

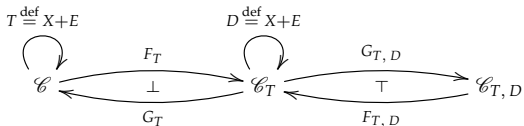


Theorem

- 1 F_T is faithful.
- 2 the category $\mathcal{C}_{T,D}$ is the full image category of T .
- 3 $G_{T,D}$ is faithful.

Interpreting the logic \mathcal{L}_{exc}

The coKleisli-on-Kleisli construction:



The types are interpreted as the objects of the category \mathcal{C} :

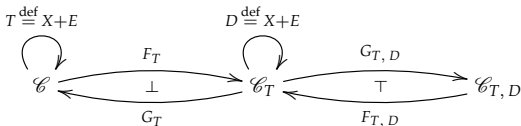
- \emptyset is interpreted as the *initial object*,
- for each e in $EName$, the type P_e is interpreted as an object Par_e ,
- the sum type $X + Y$, for each types X and Y , are interpreted as the binary coproducts.

$$E \stackrel{\text{def}}{=} \Sigma_{e \in EName} Par_e$$

with canonical inclusions $in_e: Par_e \rightarrow E$.

Interpreting the logic \mathcal{L}_{exc}

The coKleisli-on-Kleisli construction:



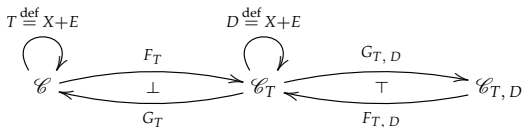
The terms are interpreted as morphisms as follows:

- a *pure term* $f^{(0)} : X \rightarrow Y$ in \mathcal{C} as $f : X \rightarrow Y$ in \mathcal{C} ,
- a *propagator term* $f^{(1)} : X \rightarrow Y$ in \mathcal{C}_T as $f : X \rightarrow Y + E$ in \mathcal{C} ,
 - $\text{tag}_e^{(1)} : P_e \rightarrow \mathbb{0}$ as $\text{tag}_e = \text{in}_e : \text{Par}_e \rightarrow E$
- a *catcher term* $f^{(2)} : X \rightarrow Y$ in $\mathcal{C}_{T,D}$ as $f : X + E \rightarrow Y + E$ in \mathcal{C}
 - $\text{untag}_e^{(2)} : \mathbb{0} \rightarrow P_e$ as a term $\text{untag}_e : E \rightarrow \text{Par}_e + E$ in \mathcal{C} characterized as follows:

$$\begin{cases} \text{untag}_e \circ \text{tag}_e = \text{inl}_{\text{Par}_e, E} & : \text{Par}_e \rightarrow \text{Par}_e + E \\ \text{untag}_e \circ \text{tag}_f = \text{inr}_{\text{Par}_e, E} \circ \text{tag}_f & : \text{Par}_f \rightarrow \text{Par}_e + E \text{ if } e \neq f \end{cases}$$

Interpreting the logic \mathcal{L}_{exc}

The coKleisli-on-Kleisli construction:



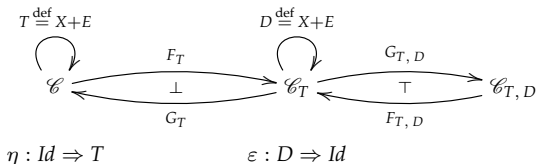
Hierarchy (or conversion) rules among decorations:

$$\frac{f^{(0)}}{f^{(1)}} \quad \text{and} \quad \frac{f^{(1)}}{f^{(2)}}$$

- $\frac{f^{(0)}}{f^{(1)}}$ is interpreted by the functor F_T ,
- $\frac{f^{(1)}}{f^{(2)}}$ is interpreted by the functor $G_{T,D}$.
- Consequently $\frac{f^{(0)}}{f^{(2)}}$ is interpreted by the composition $G_{T,D} \circ F_T$.

Interpreting the logic \mathcal{L}_{exc}

The coKleisli-on-Kleisli construction:



A strong equation between catchers $f^{(2)} \equiv g^{(2)} : X \rightarrow Y$ is interpreted as

$$f = g : X + E \rightarrow Y + E \text{ in } \mathcal{C}.$$

A weak equation between catchers $f^{(2)} \sim g^{(2)} : X \rightarrow Y$ is interpreted as

$$f \circ \eta_X = g \circ \eta_X : X \rightarrow Y + E \text{ in } \mathcal{C}.$$

The fundamental weak equation

- $\text{tag}_e^{(1)} : P_e \rightarrow \mathbb{0}$
- $\text{untag}_e^{(2)} : \mathbb{0} \rightarrow P_e$

$$\text{untag}_e^{(2)} \circ \text{tag}_e^{(1)} \sim id_{P_e}^{(0)}$$

Both members agree on non-exceptional arguments but they may differ on exceptional arguments.

$$\begin{array}{ccccc}
 & & \text{tag}_e & & \text{untag}_e \\
 p & \mapsto & \boxed{p} & \mapsto & p \\
 \boxed{p}_e & \mapsto & \boxed{p}_e & \mapsto & p
 \end{array}$$

Some other rules of \mathcal{L}_{exc}

- Conversion rules

$$\frac{f^{(0)}}{f^{(1)}} \quad \frac{f^{(1)}}{f^{(2)}} \quad \frac{f^{(d)} \equiv g^{(d')}}{f \sim g} \quad \frac{f^{(d)} \sim g^{(d')}}{f \equiv g} \text{ if } \max(d, d') \leq 1$$

- The effect rule

$$\text{(effect)} \frac{f_1^{(2)}, f_2^{(2)} : X \rightarrow Y \quad f_1^{(2)} \sim f_2^{(2)} \quad f_1^{(2)} \circ []_X^{(0)} \equiv f_2^{(2)} \circ []_X^{(0)}}{f_1 \equiv f_2}$$

- Decorated versions of the rules of monadic equational logic
- Decorated versions of categorical coproduct rules

\mathcal{L}_{exc} in Coq

Some prerequisites:

```
Parameter EName: Type.
Parameter EVal: EName → Type.
```

The type **term** is dependent:

```
Inductive term: Type → Type → Type :=
| comp   : forall {X Y Z: Type}, term X Y → term Y Z → term X Z
| copair : forall {X Y Z}, term Z X → term Z Y → term Z (X + Y)
| tpure  : forall {X Y: Type}, (X → Y) → term Y X
| tag    : e: EName → term Empty_set (EVal e)
| untag  : e: EName → term (EVal e) Empty_set.
Infix "o" := comp (at level 60).
```

An example:

```
Definition id {X: Type}: term X X := tpure id.
```

Decorations in Coq

Decorations are assigned on terms by a Coq predicate named **is**:

```
Inductive ekind := epure | ppg | ctc.
```

```
Inductive is : ekind → forall X Y, term X Y → Prop :=
| is_tpure   : forall X Y (f: X → Y), is (epure) (@tpure X Y f)
| is_comp    : forall k X Y Z (f: term X Y) (g: term Y Z), is k f → is k g → is k (f o g)
| is_copair  : forall k X Y Z (f: term Z X) (g: term Z Y), is ppg f → is k f → is k g → is k (copair f g)
| is_tag     : forall t, is ppg (tag t)
| is_untag   : forall t, is ctc (untag t)
| is_epure_ppg : forall X Y k (f: term X Y), is epure f → is ppg f
| is_ppg_ctc  : forall X Y k (f: term X Y), is ppg f → is ctc f.
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```
Hint Constructors is.
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| is_copair  : forall k X Y Z (f: term X Z) (g: term Z Y), is ppg f → is k f → is k g → is k (copair f g)
| is_tag     : forall t, is ppg (tag t)
| is_untag   : forall t, is ctc (untag t)
| is_epure_ppg : forall X Y k (f: term X Y), is epure f → is ppg f
| is_ppg_ctc  : forall X Y k (f: term X Y), is ppg f → is ctc f.
```

```
Hint Constructors is.
```

A tactic to automatically reason about decorations:

```
Ltac edecorate := solve[repeat
  (apply is_comp || apply is_copair)
  ||
  (apply is_tpure || apply is_tag || apply is_untag)
  ||
  (apply is_epure_ppg) || (apply is_ppg_ctc)].
```


Some rules in Coq

The rules are given in a mutually inductive way:

```

Inductive strong: forall X Y, relation (term X Y) :=
  |
  | effect: forall X Y (f g: term Y X), f ~ g → (f o (empty X) == g o (empty X)) → f == g
  | tcomp: forall X Y Z (f: Z → Y) (g: Y → X), tpure (compose g f) == tpure g o tpure f
with weak: forall X Y, relation (term X Y) :=
  |
  | fundweq: forall e: EName, untag e o tag e ~ (@id (EVal e))
where "x == y" := (strong x y)
      "x ~ y" := (weak x y).

```

Programmer's language for exceptions (\mathcal{L}_{exc-pl})

Syntax for the programmer's language: ($e \in EName$)

Types: $t ::= A \mid B \mid \dots \mid P_e$

Terms: $f, g ::= id_t \mid a \mid b \mid \dots \mid g \circ f \mid$
 $throw_{t,e} \mid try(f) catch(e \Rightarrow g)$

Decoration for terms: $(d) ::= (0) \mid (1)$

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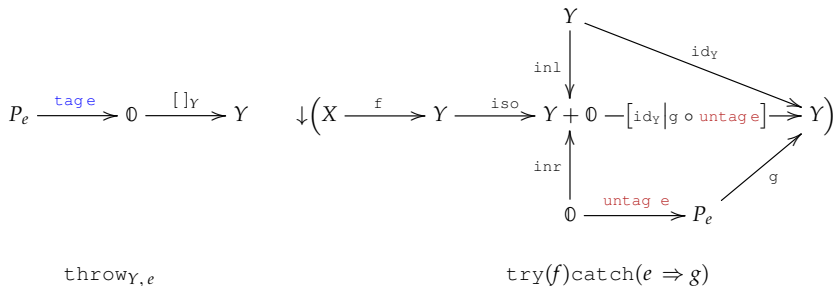
| | | | |
|-----------------------|--------|-----|---|
| Types: | t | ::= | $A \mid B \mid \dots \mid P_e$ |
| Terms: | f, g | ::= | $id_t \mid a \mid b \mid \dots \mid g \circ f \mid$ $throw_{t,e} \mid try(f) catch(e \Rightarrow g)$ |
| Decoration for terms: | (d) | ::= | $(0) \mid (1)$ |
| Equations: | e | ::= | $f \equiv g$ |

$$\begin{aligned} throw_{X,e}^{(1)} & : P_e \rightarrow X \\ try(a) catch(e \Rightarrow b)^{(1)} & : X \rightarrow Y \end{aligned}$$

$$(ppt) \frac{a : X \rightarrow Y}{a \circ throw_{X,e} \equiv throw_{Y,e}}$$

$$(try_1) \frac{u^{(0)} : X \rightarrow P_e \quad b : P_e \rightarrow Y}{try(throw_{Y,e} \circ u) catch(e \Rightarrow b) \equiv b \circ u}$$

Translating \mathcal{L}_{exc-pl} into \mathcal{L}_{exc}



II.

Relative Hilbert-Post Completeness

Categorical view of computation

Various syntactic and semantic notions are treated uniformly

- **Syntax**: a theory generated by some kind of language (types, terms,...) and equations is a (...) -category
- **Semantics**: a domain of interpretation is a (...) -category, and a model of a theory in a domain is a (...) -functor

Some examples:

(...) -category = cartesian closed category
for simply typed lambda-calculus

(...) -category = category
for monadic equational logic

(...) -category = decorated category
for the decorated logic for exceptions

An example: monadic equational logic

(...)-category = **category**
for the logic $\mathcal{L}_{meq,nat}$:

- **Syntax:** the language $\text{Lang}_{meq,nat}$ generated by:

Types: $t ::= U \mid \mathbb{N}$
Terms: $f g ::= id_t \mid g \circ f \mid z \mid s$

several theories \mathcal{T}_{meq} in $\text{Lang}_{meq,nat}$ can be generated by:

Equations: $e ::= \{ \dots \}$

- **Semantics:** a model of the theory with “no equations” of naturals in $\mathcal{S}et$:

| Theory | \rightarrow | Domain |
|--------------|---------------|-------------------|
| U | | $\{*\}$ |
| \mathbb{N} | | \mathbb{N} |
| id_t | | $x \mapsto x$ |
| z | | 0 |
| s | | $x \mapsto x + 1$ |

Decorated logic

(...)-category = **decorated category**
for the logic $\mathcal{L}_{exc-\oplus}$ (\mathcal{L}_{exc} with no “case distinction” and a single exception name):

- Syntax:** several languages $\text{Lang}_{exc-\oplus}$ can be generated by:

$$\begin{aligned} \text{Types: } \quad t & ::= A \mid B \mid \dots \mid \emptyset \mid P \\ \text{Terms: } \quad f \ g & ::= \text{id}_t^{(0)} \mid []_t^{(0)} \mid a^{(0)} \mid b^{(0)} \mid \dots \mid g^{(0)} \circ f^{(0)} \mid \\ & \quad \text{tag}^{(1)} \mid \text{untag}^{(2)} \mid g^{(1)} \circ f^{(1)} \mid g^{(2)} \circ f^{(2)} \end{aligned}$$

several theories \mathcal{T}_{exc} in a fixed language $\text{Lang}_{exc-\oplus}$ can be generated by:

$$\text{Equations: } \quad e ::= \{ \dots^{(0)} \equiv \dots^{(0)}, \text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_P^{(0)} \}$$

- Semantics:** a model of the theory with “no pure equations” in $\mathcal{S}et$:

| Theory | \rightarrow | Domain |
|--|---------------|---|
| \emptyset | | $\{\}$ |
| P | | Par |
| $[]_t^{(0)}$ | | empty function |
| $\text{tag}^{(1)} : P \rightarrow \emptyset$ | | $\text{tag} : \text{Par} \rightarrow E$ |
| $\text{untag}^{(2)} : \emptyset \rightarrow P$ | | $\text{untag} : E \rightarrow \text{Par} + E$ |
| | | $p \mapsto \boxed{p}$ |
| | | $\boxed{p} \mapsto p$ |

Any theory \mathcal{T}_{exc} will be shown as Hilbert-Post complete w.r.t. the logic \mathcal{L}_{meq} !

Another example: decorated logic

(...)-category = **decorated category** for the logic $\mathcal{L}_{exc-\oplus,nat}$

- Syntax:** the language $\text{Lang}_{exc-\oplus,nat}$ is generated by:

$$\begin{aligned} \text{Types: } \quad t & ::= \mathbb{0} \mid \mathbb{U} \mid \mathbb{N} \\ \text{Terms: } \quad f \ g & ::= \text{id}_t^{(0)} \mid []_t^{(0)} \mid z^{(0)} \mid s^{(0)} \mid g^{(0)} \circ f^{(0)} \mid \\ & \quad \text{tag}^{(1)} \mid \text{untag}^{(2)} \mid g^{(1)} \circ f^{(1)} \mid g^{(2)} \circ f^{(2)} \end{aligned}$$

several theories $\mathcal{T}_{exc,nat}$ in $\text{Lang}_{exc-\oplus,nat}$ is generated by:

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- Semantics:** a model of the theory with “no pure equations” of naturals in $\mathcal{S}et$:

| Theory | \rightarrow | Domain |
|--|---------------|--|
| $\mathbb{0}$ | | $\{\}$ |
| \mathbb{U} | | $\{*\}$ |
| \mathbb{N} | | \mathbb{N} |
| $[]_t^{(0)}$ | | empty function |
| $\text{tag}^{(1)} : \mathbb{N} \rightarrow \mathbb{0}$ | | $\text{tag} : \mathbb{N} \rightarrow E \quad 3 \mapsto \boxed{3}$ |
| $\text{untag}^{(2)} : \mathbb{0} \rightarrow \mathbb{N}$ | | $\text{untag} : \mathbb{N} \rightarrow \mathbb{N} + E \quad \boxed{3} \mapsto 3$ |

Any theory $\mathcal{T}_{exc,nat}$ will be shown as Hilbert-Post complete w.r.t. the logic $\mathcal{L}_{meq,nat}$!

Soundness and completeness of theories \mathcal{T}_{exc}

- In this framework, **soundness** of the theories \mathcal{T}_{exc} of the logic $\mathcal{L}_{exc-\oplus}$ with respect to denotational semantics is granted:
Provable \implies Valid
- But **completeness** is not immediate:
 - * **Semantic completeness**:
Valid \implies Provable
 - * **Syntactic completeness**:
Every added unprovable sentence introduces an inconsistency, where **inconsistency** means:
 - either **negation inconsistency**:
there is a sentence φ such that φ and $\neg\varphi$ are provable
 - or **Hilbert-Post inconsistency**:
every sentence is provable

(Absolute) Hilbert-Post completeness

Definition

Given a logic \mathcal{L} and its maximal theory \mathcal{T}_{max} , a theory \mathcal{T} is,

- ★ **consistent** if $\mathcal{T} \neq \mathcal{T}_{max}$,
- ★ **(absolute) Hilbert-Post complete**, if:
 - ★★ it is consistent
 - ★★ any theory which contains \mathcal{T} coincides with \mathcal{T}_{max} or with \mathcal{T} .

Example: $\mathcal{L}_{meq,nat}$

Types: $t ::= U \mid N$
 Terms: $f \ g ::= id_t \mid g \circ f \mid z \mid s$

$$\begin{array}{l}
 \mathcal{T}_{max} \qquad \{s \equiv id_N\} \\
 \cup \\
 \mathcal{T}' \qquad \{s \circ 0 \equiv 0, s \circ s \equiv s\} \\
 \cup \\
 \vdots \\
 \cup \\
 \mathcal{T}_{mod6} \qquad \{s^6 \equiv id_N\} \\
 \cup \\
 \mathcal{T}_{min} \qquad \{\}
 \end{array}$$

Example: $\mathcal{L}_{meq,nat}$

Types: $t ::= U \mid N$
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HPC in $\mathcal{L}_{meq,nat}$

| | | |
|----------------------|--|---|
| \mathcal{T}_{max} | $\{s \equiv id_N\}$ | |
| | ∪ | |
| \mathcal{T}' | $\{s \circ 0 \equiv 0, s \circ s \equiv s\}$ | |
| | ∪ | |
| | ⋮ | |
| | ∪ | |
| \mathcal{T}_{mod6} | $\{s^6 \equiv id_N\}$ | |
| | ∪ | |
| \mathcal{T}_{min} | $\{\}$ | X |

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|----------------------|--|---|
| \mathcal{T}_{max} | $\{s \equiv id_N\}$ | |
| \cup | | |
| \mathcal{T}' | $\{s \circ 0 \equiv 0, s \circ s \equiv s\}$ | ✓ |
| \cup | | |
| \vdots | \vdots | |
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| \cup | | |
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| \mathcal{T}_{min} | $\{\}$ | X |

Relative Hilbert-Post completeness

Definition

$$\text{Theory}(\mathcal{L}_0) \begin{array}{c} \xrightarrow{F} \\ \perp \\ \xleftarrow{G} \end{array} \text{Theory}(\mathcal{L})$$

A theory \mathcal{T} of \mathcal{L} is **Hilbert-Post complete with respect to \mathcal{L}_0** if

- ★★ it is consistent and
- ★★ each formula e of \mathcal{L} is \mathcal{T} -equivalent to some set E_0 of formulae of the logic \mathcal{L}_0 :

$$\mathcal{T} + \text{Th}(e) = \mathcal{T} + \text{Th}(E_0)$$

The *relative Hilbert-Post completeness* **lifts** the *absolute* one from the logic \mathcal{L}_0 to the logic \mathcal{L} .

Example: $\mathcal{L}_{meq,nat}$ and $\mathcal{L}_{exc-\oplus,nat}$

$$\text{Theory}(\mathcal{L}_{meq,nat}) \begin{array}{c} \xrightarrow{F} \\ \perp \\ \xleftarrow{G} \end{array} \text{Theory}(\mathcal{L}_{exc-\oplus,nat})$$

Types: $t ::= \mathbb{0} \mid \mathbb{U} \mid \mathbb{N}$

Terms: $f \ g ::= \text{id}_t^{(0)} \mid []_t^{(0)} \mid z^{(0)} \mid s^{(0)} \mid g^{(0)} \circ f^{(0)} \mid$
 $\text{tag}^{(1)} \mid \text{untag}^{(2)} \mid g^{(1)} \circ f^{(1)} \mid g^{(2)} \circ f^{(2)}$

HPC in $\mathcal{L}_{exc-\oplus,nat}$

$$F(\mathcal{T}_{max}) \quad \{s^{(0)} \equiv \text{id}_N^{(0)}, \text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\}$$

∪

$$F(\mathcal{T}') \quad \{s^{(0)} \circ 0^{(0)} \equiv 0^{(0)}, s^{(0)} \circ s^{(0)} \equiv s^{(0)}, \text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\} \quad ?$$

∪

⋮

⋮

∪

$$F(\mathcal{T}_{mod6}) \quad \{s^{6(0)} \equiv \text{id}_N^{(0)}, \text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\}$$

∪

$$F(\mathcal{T}_{min}) \quad \{\text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\}$$

Example: $\mathcal{L}_{meq,nat}$ and $\mathcal{L}_{exc-\oplus,nat}$

$$\text{Theory}(\mathcal{L}_{meq,nat}) \begin{array}{c} \xrightarrow{F} \\ \perp \\ \xleftarrow{G} \end{array} \text{Theory}(\mathcal{L}_{exc-\oplus,nat})$$

Types: $t ::= \mathbb{0} \mid \mathbb{U} \mid \mathbb{N}$
 Terms: $f \ g ::= \text{id}_t^{(0)} \mid []_t^{(0)} \mid z^{(0)} \mid s^{(0)} \mid g^{(0)} \circ f^{(0)} \mid$
 $\text{tag}^{(1)} \mid \text{untag}^{(2)} \mid g^{(1)} \circ f^{(1)} \mid g^{(2)} \circ f^{(2)}$

HPC in $\mathcal{L}_{exc-\oplus,nat}$

$$F(\mathcal{T}_{max}) \quad \{s^{(0)} \equiv \text{id}_N^{(0)}, \text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\}$$

∪

$$F(\mathcal{T}') \quad \{s^{(0)} \circ 0^{(0)} \equiv 0^{(0)}, s^{(0)} \circ s^{(0)} \equiv s^{(0)}, \text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\}$$

✓

∪

⋮

⋮

∪

$$F(\mathcal{T}_{mod6}) \quad \{s^{6(0)} \equiv \text{id}_N^{(0)}, \text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\}$$

∪

$$F(\mathcal{T}_{min}) \quad \{\text{untag}^{(2)} \circ \text{tag}^{(1)} \sim \text{id}_N^{(0)}\}$$

III.

Relative Hilbert-Post Completeness in Coq

The proof sketch

Thanks to the relative Hilbert-Post completeness definition, we get:

Goal: proving that for each equation e in $\mathcal{L}_{exc-\oplus}$ is \mathcal{T}_{exc} -equivalent to a finite set E_0 of equations in the pure logic \mathcal{L}_{meq} .

The proof sketch:

- (1) decide the canonical forms for propagators and catchers,
- (2) show that any equation e (made of canonical forms) in $\mathcal{L}_{exc-\oplus}$ is \mathcal{T}_{exc} -equivalent to a finite set of equations in the pure sub-logic \mathcal{L}_{meq} .

Restriction on the use of copairs/coproducts:

it is easier to determine the canonical forms of propagator and catchers in the absence of categorical copairs/coproducts.

⇒ To be considered...

Canonical forms

Proposition

- For each propagator $a^{(1)} : X \rightarrow Y$, either a is pure or there is a pure term $v^{(0)} : X \rightarrow P$ such that

$$a^{(1)} \equiv []_Y^{(0)} \circ \text{tag}^{(1)} \circ v^{(0)}.$$

- For each catcher $f^{(2)} : X \rightarrow Y$, either f is a propagator or there is a propagator $a^{(1)} : P \rightarrow Y$ and a pure term $u^{(0)} : X \rightarrow P$ such that

$$f^{(2)} \equiv a^{(1)} \circ \text{untag}^{(2)} \circ \text{tag}^{(1)} \circ v^{(0)}.$$

Canonical forms in Coq

```
(** Canonical form for propagators **)
Lemma can_propagators: forall {X Y} (a: term Y X), has_no_catcher a →
  (has_only_pure a
   ∨
   (exists v:(term (Val e) X),
    (has_only_pure v) ∧ (a == ((@empty Y) o tage o v)))).

(** Canonical form for catchers **)
Lemma can_catchers: forall {X Y} (f: term Y X),
  (has_no_catcher f
   ∨
   (exists a:(term Y (Val e)), exists u:(term (Val e) X),
    (has_no_catcher a) ∧ (has_only_pure u) ∧ (f == (a o untage o tage o u)))).
```

Canonical forms in Coq

```
(** Canonical form for propagators **)
Lemma can_propagators: forall {X Y} (a: term Y X), has_no_catcher a →
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(** Canonical form for catchers **)
Lemma can_catchers: forall {X Y} (f: term Y X),
  (has_no_catcher f
   ∨
   (exists a:(term Y (Val e)), exists u:(term (Val e) X),
    (has_no_catcher a) ∧ (has_only_pure u) ∧ (f == (a o untage o tage o u)))).
```

Key point: benefiting the structural induction!

Equivalences between terms

Lemma^a

An equation between **propagators** is \mathcal{T}_{ex} -**equivalent** to a set of equations between **pure terms**.

Equivalences between terms

Lemma^a

An equation between **propagators** is \mathcal{T}_{exc} -equivalent to a set of equations between **pure terms**.

Lemma

An equation between **catchers** is \mathcal{T}_{exc} -equivalent to a set of equations between **propagators**.

Equivalences between terms

Lemma^a

An equation between **propagators** is \mathcal{T}_{exc} -equivalent to a set of equations between **pure terms**.

Lemma

An equation between **catchers** is \mathcal{T}_{exc} -equivalent to a set of equations between **propagators**.

Theorem^a

Any theory \mathcal{T}_{exc} of the logic $\mathcal{L}_{exc-\oplus}$ is relatively Hilbert-Post complete with respect to the pure logic \mathcal{L}_{meq} .

^aUnder some technical assumption.

Main theorem in Coq

```

(** An equation between any two terms is either absurd or
T_exc-equivalent to two equations between pure terms. **)
Theorem Theorem_6_10_9: forall {X Y} (f1 f2: term Y X), (Val e <> empty_set) →
  ((( f1 == f2) ↔ (forall {X Y} (f g: term Y X), f == g))
  ∨
  (exists a1:(term Y X), exists a2:(term Y X),
  exists b1:(term (Val e) (Val e)), exists b2:(term (Val e) (Val e)),
  (has_only_pure a1 ∧ has_only_pure a2 ∧
  has_only_pure b1 ∧ has_only_pure b2 ∧
  (f1 == f2 ↔ (a1 == a2 ∧ b1 == b2))))
  ∨
  (exists a1:(term (Val e) X), exists a2:(term (Val e) X),
  exists b1:(term (Val e) (Val e)), exists b2:(term (Val e) (Val e)),
  (has_only_pure a1 ∧ has_only_pure a2 ∧
  has_only_pure b1 ∧ has_only_pure b2 ∧
  (f1 == f2 ↔ (a1 == a2 ∧ b1 == b2))))
  ∨
  (exists a1:(term (Val e) X), exists a2:(term (Val e) X),
  exists b1:(term Y (Val e)), exists b2:(term Y (Val e)),
  (has_only_pure a1 ∧ has_only_pure a2 ∧
  has_only_pure b1 ∧ has_only_pure b2 ∧
  (f1 == f2 ↔ (a1 == a2 ∧ b1 == b2))))
  ∨
  (exists a1:(term Y X), exists a2:(term Y X),
  exists b1:(term Y (Val e)), exists b2:(term Y (Val e)),
  (has_only_pure a1 ∧ has_only_pure a2 ∧
  has_only_pure b1 ∧ has_only_pure b2 ∧
  (f1 == f2 ↔ (a1 == a2 ∧ b1 == b2))))
  ).

```

Summary

We have introduced;

- the logics \mathcal{L}_{meq} , \mathcal{L}_{exc} and $\mathcal{L}_{exc-\oplus}$,
- theories \mathcal{T}_{exc} of the logic $\mathcal{L}_{exc-\oplus}$.

We have defined the **relative Hilbert-Post completeness** property.

We have proven that **theories \mathcal{T}_{exc}** of $\mathcal{L}_{exc-\oplus}$ is relatively Hilbert-Post complete.

Perspectives

- (1) checking whether the theory \mathcal{T}_{exc} of the logic \mathcal{L}_{exc} is relatively Hilbert-Post complete:
 - several exception names
 - case distinction
- (2) an application of “decorated equational reasoning” to an imperative language:
 - first attempt: equivalence proofs between programs (mixing states and exceptions) written in IMPEX
 - ★ Coq library: <https://forge.imag.fr/frs/download.php/697/IMPEX-STATES-EXCEPTIONS-THESIS.tar.gz>
- (3) combining effects?

An example: IMPEX

E.g.,

```
prog_1 = (
  var x, y ;
  x := 1 ; y := 20 ;
  try(
    while(tt) do (
      if(x <= 0)
      then(throw e)
      else(x := x - 1)
    )
  )
  catch e => (y := 7) ;
)
```

===

```
prog_2 = (
  var x, y ;
  x := 0 ; y := 7 ;
) .
```

```
Lemma lemma3: forall (x y: Loc), forall (e: EName), x <=> y ->
  {{x := (const 1) ;
  (y := (const 20)) ;
  TRY(WHILE (const true)
    DO(IFB ((loc x) <<= (const 0))
      THEN (THROW e)
      ELSE(x := ((loc x) ++ (const (-1))))
    ENDIF)
    ENDWHILE)
  CATCH e => (y := (const 7))}}
===
  {{x := (const 0) ;
  (y := (const 7))}}.
Proof.
  intros. simpl. unfold try_catch.
  apply (@swtoss _ _ rw). apply is_comp. apply is_ro_rw, is_pure_ro, is_downcast.
  edecorate. edecorate.
  (*tackling downcast*)
  transitivity( ((copair id ((update y o constant 7) o untag e) o copro1)
```

```
1 subgoals
x : Loc
y : Loc
e : EName
H : x <=> y
_____ (1/1)
downcast
  ((copair id ((update y o constant 7) o untag e) o copro1)
  o (copair
    (lpi (pbl o constant true)
      (copair (throw () e)
        (update x o (tpure add o pair (lookup x) (constant (-1))))
        o (pbl o (tpure chkle o pair (lookup x) (constant 8))))))
    o (copair (throw () e)
      (update x o (tpure add o pair (lookup x) (constant (-1))))
      o (pbl o (tpure chkle o pair (lookup x) (constant 8)))))) id
    o (pbl o constant true)))
  o ((update y o constant 20) o (update x o constant 1)) ==
  (update y o constant 7) o (update x o constant 8)
```

The end!

Many thanks for your kind attention!

Questions?



IV.

Appendices



Decorated logic for the global state (\mathcal{L}_{st})

The global state effect is handling memory locations in an imperative programming language.

Syntax of the decorated logic for states (\mathcal{L}_{st}): ($i \in Loc$)

Types: $t\ s ::= A \mid B \mid \dots \mid t \times s \mid \mathbb{1} \mid V_i$

Terms: $f\ g ::= id_t \mid a \mid b \mid \dots \mid g \circ f \mid \langle g, f \rangle \mid$
 $\pi_1 \mid \pi_2 \mid \langle \rangle_t \mid lookup_i \mid update_i$

Decoration for terms: $(d) ::= (0) \mid (1) \mid (2)$

Equations: $e ::= f \equiv g \mid f \sim g$

$$lookup_i^{(1)} : \mathbb{1} \rightarrow V_i$$

$$update_i^{(2)} : V_i \rightarrow \mathbb{1}$$

The decorated logic: the states & the exceptions

The combined decorated logic for the state and the exception: \mathcal{L}_{st+exc} .

Grammar of the decorated logic for the state + the exception:

Types: $t ::= \text{merged}$

Terms: $f\ g ::= \text{merged}$

Decoration for terms: $(d^s, d^e) ::= (0^s, 0^e) \mid (0^s, 1^e) \mid (0^s, 2^e) \mid (1^s, 0^e) \mid (1^s, 1^e) \mid (1^s, 2^e) \mid (2^s, 0^e) \mid (2^s, 1^e) \mid (2^s, 2^e)$

Equations: $e ::= f \equiv\equiv g \mid f \equiv\sim g \mid f \sim\equiv g \mid f \sim\sim g$

The decorated logic: the states & the exceptions

The combined decorated logic for the state and the exception: \mathcal{L}_{st+exc} .

Grammar of the decorated logic for the state + the exception:

Types: $t ::= \text{merged}$

Terms: $f\ g ::= \text{merged}$

Decoration for terms: $(d^s, d^e) ::= (0^s, 0^e) \mid (0^s, 1^e) \mid (0^s, 2^e) \mid (1^s, 0^e) \mid (1^s, 1^e) \mid (1^s, 2^e) \mid (2^s, 0^e) \mid (2^s, 1^e) \mid (2^s, 2^e)$

Equations: $e ::= f \equiv \equiv g \mid f \equiv \sim g \mid f \sim \equiv g \mid f \sim \sim g$

Rules are combined.

The state + the exception: terms in Coq

Some prerequisites:

```
Parameter Loc: Type.  
Parameter Val: Loc → Type.  
Parameter EName: Type.  
Parameter EVal: EName → Type.
```

The type `term` is dependent:

```
Inductive term: Type → Type → Type :=  
| comp      : forall {X Y Z: Type}, term X Y → term Y Z → term X Z  
| pair      : forall {X Y Z: Type}, term X Z → term Y Z → term (X*Y) Z  
| copair    : forall {X Y Z: Type}, term Z X → term Z Y → term Z (X + Y)  
| tpure     : forall {X Y: Type}, (X → Y) → term Y X  
| lookup    : forall i:Loc, term unit (Val i)  
| update    : forall i:Loc, term unit (Val i)  
| tag       : forall e:EName, term Empty_set (EVal e)  
| untag     : forall e:EName, term (EVal e) Empty_set.  
Infix "o" := comp (at level 60).
```

The state + the exception: terms in Coq

Some prerequisites:

```
Parameter Loc: Type.  
Parameter Val: Loc → Type.  
Parameter EName: Type.  
Parameter EVal: EName → Type.
```

The type `term` is dependent:

```
Inductive term: Type → Type → Type :=  
| comp      : forall {X Y Z: Type}, term X Y → term Y Z → term X Z  
| pair      : forall {X Y Z: Type}, term X Z → term Y Z → term (X*Y) Z  
| copair    : forall {X Y Z: Type}, term Z X → term Z Y → term Z (X + Y)  
| tpure     : forall {X Y: Type}, (X → Y) → term Y X  
| lookup    : forall i:Loc, term unit (Val i)  
| update    : forall i:Loc, term unit (Val i)  
| tag       : forall e:EName, term Empty_set (EVal e)  
| untag     : forall e:EName, term (EVal e) Empty_set.  
Infix "o" := comp (at level 60).
```

An example:

```
Definition id {X: Type}: term X X := tpure id.
```

The state + the exception: decorations in Coq

Thereby, the decorations' implementation follows:

```
Inductive kind := pure | ro | rw.
```

```
Inductive ekind := epure | ppg | ctc.
```

```
Inductive is : ((kind * ekind) % type) → forall X Y, term X Y → Prop :=
| is_tpure   : forall X Y (f: X → Y), is (pure, epure) (@tpure X Y f)
| is_comp    : forall k X Y Z (f: term X Y) (g: term Y Z), is k f → is k g → is k (f o g)
| is_pair    : forall k k1 X Y Z (f: term X Z) (g: term Y Z), is (ro, k1) f → is k f → is k g → is k (pair f g)
| is_copair  : forall k k1 X Y Z (f: term Z X) (g: term Z Y), is (k1, ppg) f → is k f → is k g → is k (copair f g)
| is_lookup  : forall i, is (ro, epure) (lookup i)
| is_update  : forall i, is (rw, epure) (update i)
| is_tag     : forall t, is (pure, ppg) (tag t)
| is_untag   : forall t, is (pure, ctc) (untag t)
| is_pure_ro : forall X Y k (f: term X Y), is (pure, k) f → is (ro, k) f
| is_ro_rw   : forall X Y k (f: term X Y), is (ro, k) f → is (rw, k) f
| is_pure_ppg: forall X Y k (f: term X Y), is (k, epure) f → is (k, ppg) f
| is_ppg_ctc : forall X Y k (f: term X Y), is (k, ppg) f → is (k, ctc) f.
```

Hint Constructors is.

The state + the exception: decorations in Coq

Thereby, the decorations' implementation follows:

```
Inductive kind := pure | ro | rw.
```

```
Inductive ekind := epure | ppg | ctc.
```

```
Inductive is : ((kind * ekind)%type) → forall X Y, term X Y → Prop :=
```

```
| is_tpure   : forall X Y (f: X → Y), is (pure, epure) (@tpure X Y f)
```

```
| is_comp    : forall k X Y Z (f: term X Y) (g: term Y Z), is k f → is k g → is k (f o g)
```

```
| is_pair    : forall k k1 X Y Z (f: term X Z) (g: term Y Z), is (ro, k1) f → is k f → is k g → is k (pair f g)
```

```
| is_copair  : forall k k1 X Y Z (f: term Z X) (g: term Z Y), is (k1, ppg) f → is k f → is k g → is k (copair f g)
```

```
| is_lookup  : forall i, is (ro, epure) (lookup i)
```

```
| is_update  : forall i, is (rw, epure) (update i)
```

```
| is_tag     : forall t, is (pure, ppg) (tag t)
```

```
| is_untag   : forall t, is (pure, ctc) (untag t)
```

```
| is_pure_ro : forall X Y k (f: term X Y), is (pure, k) f → is (ro, k) f
```

```
| is_ro_rw   : forall X Y k (f: term X Y), is (ro, k) f → is (rw, k) f
```

```
| is_pure_ppg : forall X Y k (f: term X Y), is (k, epure) f → is (k, ppg) f
```

```
| is_ppg_ctc : forall X Y k (f: term X Y), is (k, ppg) f → is (k, ctc) f.
```

Hint Constructors is.

A tactic to automatically reason about decorations:

```
Ltac decorate := solve[repeat
```

```
(apply is_comp || apply is_pair || apply is_copair)
```

```
||
```

```
(apply is_tpure || apply is_lookup || apply is_update || apply is_tag || apply is_untag)
```

```
||
```

```
(apply is_pure_ro) || (apply is_ro_rw) || (apply is_pure_ppg) || (apply is_pure_ctc)].
```


The state + the exception: some rules in Coq

⇒ The rules are given in a mutually inductive way:

```

Inductive ss: forall X Y, relation (term X Y) :=
  | eq1: forall X Y k (f g: term X Y), RO k f → RO k g → f ~== g → f == g
  | effect: forall X Y (f g: term Y X), forget o f == forget o g → f ~== g → f == g
  | eeffect: forall X Y (f g: term Y X), f ==~ g → (f o (empty X) == g o (empty X)) → f == g
with ws: forall X Y, relation (term X Y) :=
  | eeq1: forall X Y k (f g: term X Y), PPG k f → PPG k g → f ==~ g → f == g
  | axl: forall i, lookup i o update i ~== (@id (Val i))
with sw: forall X Y, relation (term X Y) :=
  | eaxl: forall t: EName, untag t o tag t ==~ (@id unit)
with ww: forall X Y, relation (term X Y) :=
  ...
where "x == y" := (ss x y) and "x ~== y" := (ws x y) and
       "x ==~ y" := (sw x y) and "x ~~ y" := (ww x y).

```

IMPEX

IMPEX is an imperative language with abilities to handle exceptional cases:

IMPEX

IMPEX is an imperative language with abilities to handle exceptional cases:

Syntax:

aexp: $a_1 a_2 ::= \dots$

bexp: $b_1 b_2 ::= \dots$

cmd : $c_1 c_2 ::= \text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \mid$
 $\text{while } b \text{ do } c_1 \mid \text{throw } e \mid \text{try } c_1 \text{ catch } e \Rightarrow c_2$

IMPEX

IMPEX is an imperative language with abilities to handle exceptional cases:

Syntax:

aexp: $a_1 a_2 ::= \dots$

bexp: $b_1 b_2 ::= \dots$

cmd : $c_1 c_2 ::= \text{skip} \mid x := a \mid c_1; c_2 \mid \text{if } b \text{ then } c_1 \text{ else } c_2 \mid$
 $\text{while } b \text{ do } c_1 \mid \text{throw } e \mid \text{try } c_1 \text{ catch } e \Rightarrow c_2$

We design equational semantics of IMPEX over combined decorated logic.

IMPEX over decorated logic: Coq implementation

Commands:

```

Inductive Cmd : Type :=
| skip      : Cmd
| sequence : Cmd → Cmd → Cmd
| assign   : Loc → Exp Z → Cmd
| cond     : Exp bool → Cmd → Cmd → Cmd
| while    : Exp bool → Cmd → Cmd
| THROW    : EName → Cmd
| TRY_CATCH : EName → Cmd → Cmd → Cmd.

```

Translating commands into decorated settings:

```

Fixpoint dCmd (c: Cmd): (term unit unit) :=
match c with
| skip      ⇒ (@id unit)
| sequence c0 c1 ⇒ (dCmd c1) o (dCmd c0)
| assign je0 ⇒ (update j) o (dExp e0)
| cond b c2 c3 ⇒ copair (dCmd c2) (dCmd c3) o (prop2bool o (dExp b))
| while b c4 ⇒ (copair (loopiter (prop2bool o (dExp b)) (dCmd c4) o
                        (dCmd c4)) (@id unit)) o (prop2bool o (dExp b))
| THROW e      ⇒ (throw unit e)
| TRY_CATCH e c1 c2 ⇒ (@try_catch __ e (dCmd c1) (dCmd c2))
end.

```

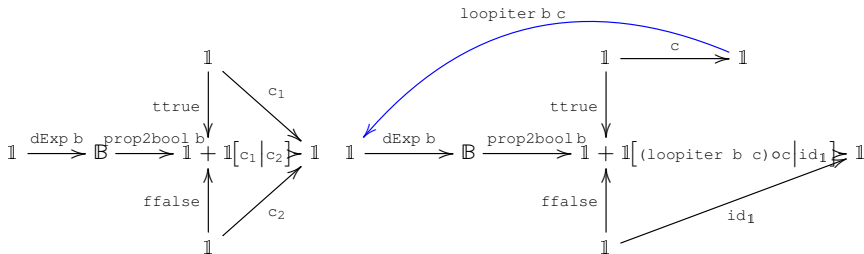


Figure: (if b then c₁ else c₂) and (while b do c) in decorated settings

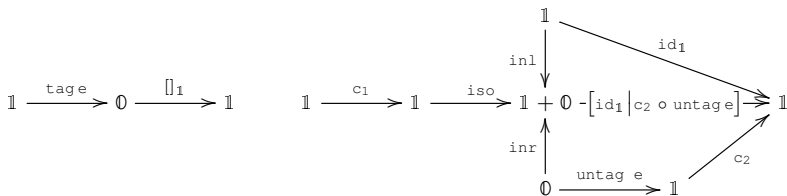


Figure: $(\text{throw } e)$ and $(\text{try } c_1 \text{ catch } e \Rightarrow c_2)$ in decorated settings

Soundness of the implementation

E.g.,

```

prog_1 = (
  var x, y ;
  x := 1 ; y := 20 ; //c_0
  try(
    while(tt) do (
      if(x <= 0) // c_1
      then(throw e)
      else(x := x - 1) //c_2
    )
  )
  catch e => (y := 7) //c_3 ;
) .

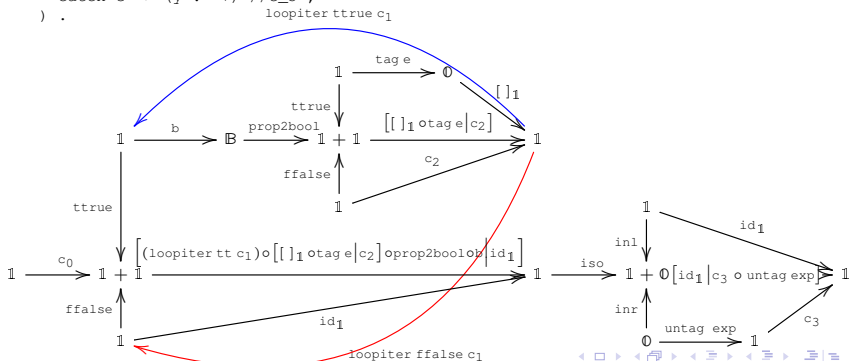
```

===

```

prog_2 = (
  var x, y ;
  x := 0 ; y := 7 ;
) .

```



Soundness of the implementation

E.g.,

```

prog_1 = (
  var x, y ;
  x := 1 ; y := 20 ; //c_0
  try(
    while(tt) do (
      if(x <= 0) // c_1
        then(throw e)
        else(x := x - 1) //c_2
    )
  )
  catch e => (y := 7) //c_3 ;
) .

```

===

```

prog_2 = (
  var x, y ;
  x := 0 ; y := 7 ;
) .

```

$$1 \xrightarrow{\text{const } 0} Z \xrightarrow{\text{update } x} 1 \xrightarrow{\text{const } 7} Z \xrightarrow{\text{update } y} 1$$

Proof verification

E.g.,

```

prog_1 = (
  var x, y ;
  x := 1 ; y := 20 ; //c_0
  try(
    while(tt) do (
      if(x <= 0) // c_1
      then(throw e)
      else(x := x - 1) //c_2
    )
  )
  catch e => (y := 7) //c_3 ;
) .

```

===

```

prog_2 = (
  var x, y ;
  x := 0 ; y := 7 ;
) .

```

```

Lemma lemma3: forall (x y: Loc), forall (e: EName), x <= y ->
{[x ::= (const 1) ;;
 [y ::= (const 20) ;;
 TRY(WHILE (const true)
 DO(IFB ((loc x) <= (const 0))
 THEN (THROW e)
 ELSE(x ::= ((loc x) ++ (const (-1))))
 ENDF)
 ENDF]
 CATCH e => (y ::= (const 7))]}
===
{[x ::= (const 0) ;;
 [y ::= (const 7)]}].
Proof.
intros. simpl. unfold try_catch.
apply (@stoss _ rw). apply is_comp. apply is_rw, is_pure_rw, is_pure_ro, is_downcast.
edecorate. edecorate.
(*tackling downcast*)
transitivity( ((copair id ((update y o constant 7) o untag e) o coproj1)

```

```

1 subgoals
x : Loc
y : Loc
e : EName
H : x <= y
_____ (1/1)
downcast
((copair id ((update y o constant 7) o untag e) o coproj1)
 o (copair
  (tpi (pbl o constant true)
 (copair (throw () e)
 (update x o (tpure add o pair (lookup x) (constant (-1))))
 o (pbl o (tpure chkle o pair (lookup x) (constant 0))))
 o (copair (throw () e)
 (update x o (tpure add o pair (lookup x) (constant (-1))))
 o (pbl o (tpure chkle o pair (lookup x) (constant 0)))))) id
 o (pbl o constant true)))
 o ((update y o constant 20) o (update x o constant 1))) ==
(update y o constant 7) o (update x o constant 0)

```

A sketch of the proof

E.g.,

```

prog_1 = (
  var x, y ;
  x := 1 ; y := 20 ; //c_0
  try(
    while(tt) do (
      if(x <= 0) // c_1
      then(throw e)
      else(x := x - 1) //c_2
    )
  )
  catch e => (y := 7) //c_3 ;
) .

```

===

```

prog_2 = (
  var x, y ;
  x := 0 ; y := 7 ;
) .

```

Some bench info:

- (1) proof text size is 7.2K
- (2) proof verification takes 5.974s with
 - (2.1) The Coq Proof Assistant, version 8.4pl3 (January 2014)
 - (2.2) Intel(R) Core(TM) i7-3630QM CPU @ 2.40GHz

A sketch of the proof:

- (1) deal with the first loop iteration which has the state but no exception effect.
- (2) study the second iteration of the loop where an exception is thrown.
- (3) explain the loop termination followed by the exception recovery and the program termination.

Minimal/maximal theories of a logic

Given a logic \mathcal{L} :

- the theories \mathcal{T} of \mathcal{L} are **partially ordered** by inclusion (\subseteq),
- there is a **maximal theory** \mathcal{T}_{max} of \mathcal{L} where all formulae are theorems,
- there is a **minimal theory** \mathcal{T}_{min} of \mathcal{L} which is generated by the *empty set* of equations.

Notation: $\mathcal{T} + \mathcal{T}'$ denotes the theory generated by \mathcal{T} and \mathcal{T}' .

Minimal/maximal theories of a logic (cont'd)

In an *equational logic*;

- **formulae** are pairs of parallel terms $(f, g): X \rightarrow Y$,
- **theorems** are equations $f \equiv g: X \rightarrow Y$.

The *language* of any equational logic may be defined from a *signature* made of **sorts** and **operations**.

The *deduction rules* are such that equations form a *congruence*.
I.e., an *equivalence relation* compatible with the term structure.

Minimal/maximal theories of a logic (cont'd)

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The *language* of any equational logic may be defined from a *signature* made of **sorts** and **operations**.

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I.e., an *equivalence relation* compatible with the term structure.

Example

Consider the logic of naturals \mathcal{L}_{nat} with a language made of

sorts $(t) := \{*\}, \mathbb{N}$ and

operations $:= id_t: t \rightarrow t, 0: \{*\} \rightarrow \mathbb{N}$ and $s: \mathbb{N} \rightarrow \mathbb{N}$.

Then;

- the **minimal theory** \mathcal{T}_{min} is generated by *empty set* of equations,
- the **maximal theory** \mathcal{T}_{max} is generated by $\{s \equiv id_{\mathbb{N}}\}$.

Extensions of a logic

If a logic \mathcal{L} is an extension of a sublogic \mathcal{L}_0 , then:

- (1) each theory \mathcal{T}_0 of \mathcal{L}_0 generates a theory $F(\mathcal{T}_0)$ of \mathcal{L} ,
- (2) each theory \mathcal{T} of \mathcal{L} determines a theory $G(\mathcal{T})$ of \mathcal{L}_0 made of theorems of \mathcal{T} which are formulae of \mathcal{L}_0 .

Extensions of a logic

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- (2) each theory \mathcal{T} of \mathcal{L} determines a theory $G(\mathcal{T})$ of \mathcal{L}_0 made of theorems of \mathcal{T} which are formulae of \mathcal{L}_0 .

The functions F and G are **monotone** and they form a **Galois connection**, denoted $F \dashv G$:

$$\text{Theory}(\mathcal{L}_0) \begin{array}{c} \xrightarrow{F} \\ \perp \\ \xleftarrow{G} \end{array} \text{Theory}(\mathcal{L})$$

- for each theory \mathcal{T} of \mathcal{L} and each theory \mathcal{T}_0 of \mathcal{L}_0 , we have:

$$\mathcal{T}_0 \subseteq G(\mathcal{T}) \iff F(\mathcal{T}_0) \subseteq \mathcal{T}.$$

- ★ It follows that

$$\mathcal{T}_0 \subseteq G(F(\mathcal{T}_0)) \text{ and } F(G(\mathcal{T})) \subseteq \mathcal{T}.$$

Absolute vs Relative Hilbert-Post completeness

- **(Absolute) H-P completeness** (wrt to a logic L) A theory T is H-P complete if:
 - at least one sentence is unprovable from T
 - and every theory containing T either is T or is made of all sentences

i.e., T is maximally consistent

- **Relative H-P completeness** (wrt to two logics $L_0 \subseteq L$) A theory T is relatively H-P complete wrt L_0 if:
 - at least one sentence is unprovable from T
 - and every theory containing T can be generated from T and some sentences in L_0

i.e., T is maximally consistent “up to L_0 ”

Canonical forms

Proposition

- For each propagator $a^{(1)} : X \rightarrow Y$, either a is pure or there is a pure term $v^{(0)} : X \rightarrow P$ such that

$$a^{(1)} \equiv []_Y^{(0)} \circ \text{tag}^{(1)} \circ v^{(0)}.$$

- For each catcher $f^{(2)} : X \rightarrow Y$, either f is a propagator or there is a propagator $a^{(1)} : P \rightarrow Y$ and a pure term $u^{(0)} : X \rightarrow P$ such that

$$f^{(2)} \equiv a^{(1)} \circ \text{untag}^{(2)} \circ \text{tag}^{(1)} \circ v^{(0)}.$$

Equivalences between propagators

Proposition

Let us assume that $[]_Y^{(0)}$ is a monomorphism with respect to propagators. A strong equation between two accessor terms is (T-)equivalent to an equation between pure terms:

$$[]_Y^{(0)} \circ \text{tag}^{(1)} \circ v_1^{(0)} \equiv []_Y^{(0)} \circ \text{tag}^{(1)} \circ v_2^{(0)} \iff v_1^{(0)} \equiv v_2^{(0)}.$$

Equivalences between propagators

Proposition

Let us assume that $[]_Y^{(0)}$ is a monomorphism with respect to propagators. A strong equation between two accessor terms is (T-)equivalent to an equation between pure terms:

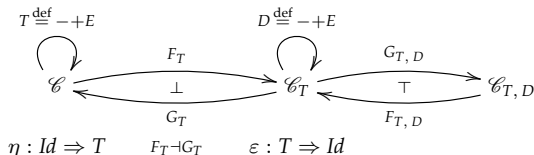
$$[]_Y^{(0)} \circ \text{tag}^{(1)} \circ v_1^{(0)} \equiv []_Y^{(0)} \circ \text{tag}^{(1)} \circ v_2^{(0)} \iff v_1^{(0)} \equiv v_2^{(0)}.$$

Assumption

A strong equation between an accessor and a pure term is “absurd”.

$$[]_Y^{(0)} \circ \text{tag}^{(1)} \circ v^{(0)} \equiv v_2^{(0)} \iff (\text{for all } f^{(0)}, g^{(0)} : X \rightarrow Y, f^{(0)} \equiv g^{(0)}).$$

More on absurdity assumption



$$[\]_Y^{(0)} \circ \text{tag}^{(1)} \circ v_1^{(0)} \equiv v_2^{(0)} : X \rightarrow Y$$

would be interpreted as

$$\underbrace{T([\]_Y) \circ \mu_0 \circ T(\text{tag}) \circ T(v_1)}_f = \underbrace{T(v_2)}_g : X + E \rightarrow Y + E.$$

$$\Rightarrow \forall e \in E, f(e) = e = g(e),$$

$$\Rightarrow \forall x \in X, f(x) = e \text{ for some } e \in E \text{ but } g(x) = y \text{ for some } y \in Y.$$

Since “+” is the disjoint union, “=” cannot hold!

absurdity assumption (left-to-right): if $f = g$ holds, then all pure terms collapse!!!

Equivalences between catchers

Proposition

- A strong equation between catchers is (T-)equivalent to two equations between propagators:

$$\begin{aligned}
 a_1^{(1)} \circ \text{untag}^{(2)} \circ \text{tag}^{(1)} \circ u_1^{(0)} &\equiv a_2^{(1)} \circ \text{untag}^{(2)} \circ \text{tag}^{(1)} \circ u_2^{(0)} \\
 &\iff \\
 a_1^{(1)} &\equiv a_2^{(1)} \quad \text{and} \quad a_1^{(1)} \circ u_1^{(0)} \equiv a_2^{(1)} \circ u_2^{(0)}.
 \end{aligned}$$

- a strong equation between a catcher and an accessor is (T-)equivalent to equations between propagators:

$$\begin{aligned}
 a_1^{(1)} \circ \text{untag}^{(2)} \circ \text{tag}^{(1)} \circ u_1^{(0)} &\equiv a_2^{(1)} \\
 &\iff \\
 a_1^{(1)} \circ u_1^{(0)} &\equiv a_2^{(1)} \quad \text{and} \quad a_1^{(1)} \equiv []_Y^{(0)} \circ \text{tag}^{(1)}.
 \end{aligned}$$

Equivalences between catchers

Theorem

The base theory of exceptions \mathcal{T}_{exc} of the logic $\mathcal{L}_{exc-\oplus}$ is relatively Hilbert-Post complete with respect to the pure logic $\mathcal{L}_{meq+\oplus}$.

The *relative Hilbert-Post completeness* **lifts** the *absolute Hilbert-Post completeness* from the logic \mathcal{L}_0 to the logic \mathcal{L} :

Theorem

$$\text{Theory}(\mathcal{L}_0) \begin{array}{c} \xrightarrow{F} \\ \perp \\ \xleftarrow{G} \end{array} \text{Theory}(\mathcal{L})$$

Let \mathcal{T}_0 be a theory of \mathcal{L}_0 and $\mathcal{T} = F(\mathcal{T}_0)$.

If

- \mathcal{T}_0 is Hilbert-Post complete (in \mathcal{L}_0) and
- \mathcal{T} is relatively Hilbert-Post complete with respect to \mathcal{L}_0 ,

then, \mathcal{T} is Hilbert-Post complete (in \mathcal{L}).

The *relative Hilbert-Post completeness* is well suited to the **combination of logics**:

Lemma

$$\begin{array}{ccccc} & & F_1 & & F_2 \\ & & \curvearrowright & & \curvearrowright \\ \text{Theory}(\mathcal{L}_0) & & & \text{Theory}(\mathcal{L}_1) & & \text{Theory}(\mathcal{L}_2) \\ & & \perp & & \perp \\ & & \curvearrowleft & & \curvearrowleft \\ & & G_1 & & G_2 \end{array}$$

Let $\mathcal{T}_1 = F_1(\mathcal{T}_0)$ and let $\mathcal{T}_2 = F_2(\mathcal{T}_1)$.

If

- \mathcal{T}_1 is relatively Hilbert-Post complete with respect to \mathcal{L}_0 and
- \mathcal{T}_2 is relatively Hilbert-Post complete with respect to \mathcal{L}_1 ,

then, \mathcal{T}_2 is relatively Hilbert-Post complete with respect to \mathcal{L}_0 .